

## Know Your Client (KYC)

### Application Form for Individuals

## Application Form

### Personal Information

Title: \_\_\_\_\_  
First Name: \_\_\_\_\_  
Middle Name: \_\_\_\_\_  
Last Name: \_\_\_\_\_  
Date of Birth: \_\_\_\_\_  
Country of Birth: \_\_\_\_\_  
Nationality: \_\_\_\_\_

### Current Address of Residence and Contact Information

Country: \_\_\_\_\_  
Region/ state: \_\_\_\_\_  
City: \_\_\_\_\_ Postal code: \_\_\_\_\_  
Address (street, house number, building, apartment, floor etc.): \_\_\_\_\_  
\_\_\_\_\_  
Phone: \_\_\_\_\_ E-mail: \_\_\_\_\_

### FATCA / CRS Self Certification

Are you a US citizen or a US resident for tax purposes? *(also applies in case of persons with dual or more nationalities)*

Yes  No

### I hereby declare that I am a tax resident of:

Country: \_\_\_\_\_ Tax Identification Number (TIN): \_\_\_\_\_

If no Tax Identification Number (TIN) is provided above, select one of the reasons below:

- Country does not issue TIN
- TIN is not required by domestic law
- Unable to obtain TIN. Specify the reason: \_\_\_\_\_

## Regulatory Information

(a) Do you (or your close relatives or associates) hold or held any public position in the last twelve months?

Yes  No

If 'Yes', please specify:

- Heads of state, heads of government, ministers and deputy or assistant ministers
- Members of parliaments
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies whose decisions are not subject to further appeal, except in exceptional circumstances
- Members of courts of auditors or of the boards of central banks, ambassadors, charge d'affaires and high-ranking officers in the armed forces
- Members of the administrative, management or supervisory bodies of state-owned enterprises
- Other, please specify: \_\_\_\_\_

(b) Is the account holder or any immediate family member who resides in the same household, registered as a broker-dealer or an employee, director or owner of a securities or commodities brokerage firm, employee of a bank, hedge fund, or other financial services company?

Yes  No

(c) Is the account holder a director, a 10% shareholder or a policy-making officer of any publicly traded company?

Yes  No

Please enter the stock symbol(s) of the company(ies), separated by commas: \_\_\_\_\_

## Banking Details

### Expected Origin of Incoming Funds

Bank Name:	
Bank Address/country:	
Account Holder Name:	
Joint Account Holder Name:	
Account Number:	
Swift Code:	
IBAN No:	
Sort Code:	

### Expected Origin of Outgoing Funds (*if different*)

Bank Name:	
Bank Address/country:	
Account Holder Name:	
Joint Account Holder Name:	
Account Number:	
Swift Code:	
IBAN No:	
Sort Code:	

## Authorisation

- I consent to your collection and processing of my personal data for the purpose of enabling Lime Trading (CY) Ltd to administer and operate my account, provide services to me and comply with applicable laws and regulations, including: transaction and trade reporting; and assessing my trading experience for the purposes of: (a) assessing whether a service or financial instrument is appropriate for me; or (b) for determining whether I am Professional investor as defined in CYSEC's Law and directives.
- I understand that I have the right to access, and request the correction of, any of the information that I have provided in this form by contacting Lime Trading (CY) Ltd in writing.
- The details contained in this form are correct and complete; and
- I will inform Lime Trading (CY) Ltd immediately in the event that any of the information given in this form becomes, or is subsequently found to be, incomplete.

## List of Required Documents

The below list is not exhaustive and additional documentation may be requested at the discretion of the Lime Trading (CY) Ltd as the case may be.

- Identification Document
- Recent proof of address (up to 6 months old)
- Supporting documentation proving the Client's source of funds (where applicable)

\* *A true translation should be attached in the case that documents/data are in a language other than English*

\*\* *All documents/data should be in their original form or true copies*

Date: \_\_\_\_\_

\_\_\_\_\_  
(name of the Client)

\_\_\_\_\_  
(Signature)